

# 2018 Current Fiscal Year Report: National Diabetes and Digestive and Kidney Diseases Advisory Council

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## 1. Department or Agency

Department of Health and Human Services

## 2. Fiscal Year

2018

## 3. Committee or Subcommittee

National Diabetes and Digestive and Kidney Diseases  
Advisory Council

## 3b. GSA Committee No.

128

## 4. Is this New During Fiscal Year?

No

## 5. Current Charter

10/31/2016

## 6. Expected Renewal Date

10/31/2018

## 7. Expected Term Date

## 8a. Was Terminated During Fiscal Year?

No

## 8b. Specific Termination Authority

## 8c. Actual Term Date

## 9. Agency Recommendation for Next Fiscal Year

Continue

## 10a. Legislation Req to Terminate?

Not Applicable

## 10b. Legislation Pending?

Not Applicable

## 11. Establishment Authority Statutory (Congress Created)

## 12. Specific Establishment Authority

42 U.S.C. 284a

## 13. Effective Date

11/20/1985

## 14. Committee Type

Continuing

## 14c. Presidential?

No

## 15. Description of Committee Other Committee

## 16a. Total Number of Reports

No Reports for this  
Fiscal Year

## 17a. Open 0 17b. Closed 0 17c. Partially Closed 3 17d. Total 3 Meetings and Dates

### Purpose

Second Level of Peer Review

Second Level of Peer Review

Second Level of Peer Review

### Start

01/24/2018

05/16/2018

09/07/2018

### End

- 01/24/2018

- 05/16/2018

- 09/07/2018

## Number of Committee Meetings Listed: 3

## 18a(1). Personnel Pmts to Non-Federal Members

\$9,000.00 \$9,000.00

## 18a(2). Personnel Pmts to Federal Members

\$0.00 \$0.00

## 18a(3). Personnel Pmts to Federal Staff

\$83,266.00 \$84,848.00

## 18a(4). Personnel Pmts to Non-Member Consultants

\$600.00 \$600.00

## 18b(1). Travel and Per Diem to Non-Federal Members

\$31,429.00 \$31,969.00

## 18b(2). Travel and Per Diem to Federal Members

\$0.00 \$0.00

## 18b(3). Travel and Per Diem to Federal Staff

\$0.00 \$0.00

<b>18b(4). Travel and Per Diem to Non-member Consultants</b>	\$4,693.00	\$4,778.00
<b>18c. Other(rents,user charges, graphics, printing, mail, etc.)</b>	\$3,000.00	\$3,060.00
<b>18d. Total</b>	\$131,988.00	\$134,255.00
<b>19. Federal Staff Support Years (FTE)</b>	0.70	0.70

**20a. How does the Committee accomplish its purpose?**

Section 492 of the PHS Act states that The Secretary...shall by regulation require appropriate technical and scientific peer review of -- (A) applications...; and (B)...biomedical and behavioral research and development contracts... This Council is composed of both scientific and public members who provide the second level of peer review; advise on policy development, program implementation and evaluation; and identify research needs and opportunities of the National Institute of Diabetes and Digestive and Kidney Diseases. There were 8403 applications requesting \$10,648,042,149 that were reviewed by initial review groups for the National Diabetes and Digestive and Kidney Diseases Advisory Council.

**20b. How does the Committee balance its membership?**

The National Diabetes and Digestive and Kidney Diseases Advisory Council is composed of 18 appointed members with research interests and responsibilities paralleling those of the National Institute of Diabetes and Digestive and Kidney Diseases. Twelve members are scientists who are outstanding medical or scientific authorities knowledgeable in the fields of diabetes and other endocrinologic diseases, cystic fibrosis and other metabolic diseases and disorders, digestive and nutritional diseases and disorders, and kidney and hematologic diseases and disorders. Six members are representatives of the general public with interests in public policy, law, health policy, economics and management related to the above listed conditions.

**20c. How frequent and relevant are the Committee Meetings?**

Meetings are held at least three times each fiscal year, as required by law. The National Diabetes and Digestive and Kidney Diseases Advisory Council provides advice on a continuing basis on the type of scientific research programs that should be supported and on how to carry them out. It also provides ongoing review of the relevance and effectiveness of the existing programs.

**20d. Why can't the advice or information this committee provides be obtained elsewhere?**

The National Diabetes and Digestive and Kidney Diseases Advisory Council is composed of both scientific and public members who provide the second level of review for research grant applications; advise on policy development, program implementation and

evaluation; and identify research needs and opportunities of the Institute. The quality, scope, and balance of advice from this Council cannot be obtained from NIH staff or from other established sources because the membership of the Council is constituted to meet the specific requirements of the mandated mission of the Council and of the Institute.

## **20e. Why is it necessary to close and/or partially closed committee meetings?**

The meetings of the Council were partially closed to the public for the portion to review grant applications. Sections 552b(c)(4) and 552b(c)(6) of the Government in the Sunshine Act permit the closing of meetings where discussion could reveal confidential trade secrets or commercial property such as patentable material and personal information, the disclosure of which would constitute a clearly unwarranted invasion of personal privacy.

## **21. Remarks**

Committee Function: Other - National Scientific and Advisory. Reports - This committee did not produce any reports during this reporting period. The Designated Federal Official and Committee Decision Maker positions are both filled by the Director, Division of Extramural Activities. The Institute Director has delegated operational responsibility for this Council to the director of the division that depends (by statute) on Council recommendations to do its job. Administrative extensions: Members Brenner, Chang, Leake, Peters, and Schaffer were administratively extended through April 30, 2018 while new members and nominees were delayed in completing the appointment process.

## **Designated Federal Officer**

### **KARL MALIK ACTING DIRECTOR FOR EXTRAMURAL ACTIVITIES**

<b>Committee Members</b>	<b>Start</b>	<b>End</b>	<b>Occupation</b>	<b>Member Designation</b>
AZAR, ALEX	01/29/2018	01/20/2021	SECRETARY	Ex Officio Member
BONVENTRE, JOSEPH	11/01/2014	10/31/2018	SAMUEL A. LEVINE PROFESSOR OF MEDICINE	Special Government Employee (SGE) Member
BRENNER, DAVID	02/23/2014	04/30/2018	VICE CHANCELLOR FOR HEALTH SCIENCES AND DEAN	Special Government Employee (SGE) Member
CHANG, EUGENE	02/23/2014	04/30/2018	MARTIN BOYER DISTINGUISHED PROFESSOR OF MEDICINE	Special Government Employee (SGE) Member
COLLINS, FRANCIS	08/17/2009	01/20/2021	DIRECTOR	Ex Officio Member
D'ALESSIO, DAVID	07/10/2017	12/31/2027	PROFESSOR AND DIRECTOR	Ex Officio Member
DONOWITZ, MARK	11/01/2014	10/31/2018	LEBOFF PROFESSOR FOR RESEARCH IN DIGESTIVE DISEASES	Special Government Employee (SGE) Member
ELMQUIST, JOEL	04/18/2016	10/31/2019	PROFESSOR	Special Government Employee (SGE) Member
GUAY-WOODFORD, LISA	09/03/2017	10/31/2020	PROFESSOR	Special Government Employee (SGE) Member
HELLER, CAREN	04/18/2016	10/31/2019	CHIEF SCIENTIFIC OFFICER	Special Government Employee (SGE) Member
Hargan, Eric	10/10/2017	01/29/2018	Acting HHS Secretary	Ex Officio Member
KAPLAN, LEE	11/01/2014	10/31/2018	DIRECTOR	Special Government Employee (SGE) Member
KLURFELD, DAVID	01/05/2016	12/31/2026	NATIONAL PROGRAM LEADER	Ex Officio Member

KNIGHT, RICHARD	09/30/2017	10/31/2020	VICE PRESIDENT	Special Government Employee (SGE) Member
LANGE, PAUL	09/03/2017	10/31/2019	PROFESSOR AND CHAIR	Special Government Employee (SGE) Member
LEAKE, ELLEN	02/23/2014	04/30/2018	CHAIR, JDRF DEVELOPMENT COMMITTEE	Special Government Employee (SGE) Member
NEALON, THOMAS	09/03/2017	10/31/2020	NATIONAL BOARD CHAIR AND CEO	Special Government Employee (SGE) Member
PEEK, RICHARD	08/19/2018	10/31/2021	Professor	Special Government Employee (SGE) Member
PESSIN, JEFFREY	09/03/2017	10/31/2020	PROFESSOR	Special Government Employee (SGE) Member
PETERS, CRAIG	11/01/2014	04/30/2018	CHIEF	Special Government Employee (SGE) Member
RODGERS, GRIFFIN	11/01/2017	10/31/2019	DIRECTOR	Ex Officio Member
SALTIEL, ALAN	11/01/2014	10/31/2018	PROFESSOR OF INTERNAL MEDICINE AND PHYSIOLOGY	Special Government Employee (SGE) Member
SCHAFFER, JEAN	11/01/2013	04/30/2018	VIRGINIA MINNICH DISTINGUISHED PROFESSOR OF MEDICINE	Special Government Employee (SGE) Member
SOKOL, RONALD	08/19/2018	10/31/2021	Professor	Special Government Employee (SGE) Member
STEWART, IAN	07/20/2016	11/01/2026	ASSISTANT PROFESSOR OF MEDICINE	Ex Officio Member
STIEHL, LORRAINE	07/22/2018	10/31/2021	CONSULTANT	Special Government Employee (SGE) Member
TAYLOR, PAMELA	11/01/2014	10/31/2018	SENIOR COMMUNICATIONS CONSULTANT	Special Government Employee (SGE) Member
TOROK-STORB, BEVERLY	04/04/2016	10/31/2019	MEMBER	Special Government Employee (SGE) Member
WRIGHT, DON	09/29/2017	10/10/2017	Acting Secretary, HHS	Ex Officio Member

**Number of Committee Members Listed: 29**

## Narrative Description

The goal of NIH research is to acquire new knowledge to help prevent, detect, diagnose, and treat disease and disability, from the rarest genetic disorder to the common cold. The NIH mission is to uncover new knowledge that will lead to better health for everyone. NIH works toward that mission by: conducting research in its own laboratories; supporting the research of non-Federal scientists in universities, medical schools, hospitals, and research institutions throughout the country and abroad; helping in the training of research investigators; and fostering communication of medical and health sciences information. Section 492 of the Public Health Service Act states that The Secretary...shall by regulation require appropriate technical scientific peer review of -(A) applications...; and (B)...biomedical and behavioral research and development contracts... The National Diabetes and Digestive and Kidney Diseases Advisory Council provides second level peer review, makes recommendations for support of grants, contract proposals, and cooperative agreements; advises on policy development, program implementation and evaluation; and identifies research needs of the National Institute of Diabetes and

Digestive and Kidney Diseases.

**What are the most significant program outcomes associated with this committee?**

Checked if Applies

- |   |                                     |
|---|-------------------------------------|
| Improvements to health or safety                  | <input type="checkbox"/>            |
| Trust in government                               | <input type="checkbox"/>            |
| Major policy changes                              | <input type="checkbox"/>            |
| Advance in scientific research                    | <input checked="" type="checkbox"/> |
| Effective grant making                            | <input checked="" type="checkbox"/> |
| Improved service delivery                         | <input type="checkbox"/>            |
| Increased customer satisfaction                   | <input type="checkbox"/>            |
| Implementation of laws or regulatory requirements | <input type="checkbox"/>            |
| Other   | <input type="checkbox"/>            |

**Outcome Comments**

N/A

**What are the cost savings associated with this committee?**

Checked if Applies

- |                            |                                     |
|----------------------------|-------------------------------------|
| None                       | <input type="checkbox"/>            |
| Unable to Determine        | <input checked="" type="checkbox"/> |
| Under \$100,000            | <input type="checkbox"/>            |
| \$100,000 - \$500,000      | <input type="checkbox"/>            |
| \$500,001 - \$1,000,000    | <input type="checkbox"/>            |
| \$1,000,001 - \$5,000,000  | <input type="checkbox"/>            |
| \$5,000,001 - \$10,000,000 | <input type="checkbox"/>            |
| Over \$10,000,000          | <input type="checkbox"/>            |
| Cost Savings Other         | <input type="checkbox"/>            |

**Cost Savings Comments**

NIH supported basic and clinical research accomplishments often take many years to unfold into new diagnostic tests and new ways to treat and prevent diseases.

**What is the approximate Number of recommendations produced by this committee for the life of the committee?**

120,110

**Number of Recommendations Comments**

The number of recommendations includes the second level review of grant applications.

**What is the approximate Percentage of these recommendations that have been or will be Fully implemented by the agency?**

0%

**% of Recommendations Fully Implemented Comments**

Information on the research funded by NIH is available through the RePORT (Research Portfolio Online Reporting Tool) website. RePORT provides access to reports, data, and analyses of NIH research activities that advance the mission of the NIH, including information on NIH expenditures, strategic plans, reports on NIH funding, and reports on the organization and people involved in NIH research and research training. The RePORT website is located at <http://report.nih.gov>.

**What is the approximate Percentage of these recommendations that have been or will be Partially implemented by the agency?**

0%

**% of Recommendations Partially Implemented Comments**

Information on the research funded by NIH is available through the RePORT (Research Portfolio Online Reporting Tool) website. RePORT provides access to reports, data, and analyses of NIH research activities that advance the mission of the NIH, including information on NIH expenditures, strategic plans, reports on NIH funding, and reports on the organization and people involved in NIH research and research training. The RePORT website is located at <http://report.nih.gov>.

**Does the agency provide the committee with feedback regarding actions taken to implement recommendations or advice offered?**

Yes ☒ No ☐ Not Applicable ☐

**Agency Feedback Comments**

The NIDDK Director reports to the NIDDK Advisory Council at every meeting with feedback on committee recommendations and advice.

**What other actions has the agency taken as a result of the committee's advice or recommendation?**

Checked if Applies

Reorganized Priorities



Reallocated resources



Issued new regulation



Proposed legislation	<input type="checkbox"/>
Approved grants or other payments	<input checked="" type="checkbox"/>
Other	<input type="checkbox"/>

### Action Comments

An action of approved or recommended for grants receiving review by this council does not infer that the grant will be or has been funded. Research grant applications submitted to NIH must go through a two-step review process that includes initial peer review for scientific and technical merit and a second step of review for a number of other considerations. These include alignment with NIH's funding principles, review of the project budget, assessment of the applicant's management systems, determination of applicant eligibility, and compliance with public policy requirements. After all of these steps have been completed, NIH officials make funding decisions on individual grant applications.

### Is the Committee engaged in the review of applications for grants?

Yes

What is the estimated **Number** of grants reviewed for approval 8,403

What is the estimated **Number** of grants recommended for approval 8,403

What is the estimated **Dollar Value** of grants recommended for approval \$2,058,107,557

### Grant Review Comments

The number of grants recommended for approval is the total number of grants recommended by the IRG and the SEPs to the NIDDK Advisory Council. The dollar value is the funds that were requested in these recommended grants.

### How is access provided to the information for the Committee's documentation?

Checked if Applies

Contact DFO	<input checked="" type="checkbox"/>
Online Agency Web Site	<input checked="" type="checkbox"/>
Online Committee Web Site	<input checked="" type="checkbox"/>
Online GSA FACA Web Site	<input checked="" type="checkbox"/>
Publications	<input type="checkbox"/>
Other	<input type="checkbox"/>

### Access Comments

No comment